PTO/SB/21 (09-04) (AW 10/2004)

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 18

Application Number	10/727,939	
Filing Date	December 3, 2003	
First Named Inventor	Wen-Bin Yan	
Art Unit	2878	
Examiner Name		
Attorney Docket No.	TGR-106US	

ENCLOSURES (Check all that apply)						
Fee Transmittal		Drawing(s)		After Allowance Communication to TC		
Amendment/Re		Licensing-related Papers  Petition		Appeal Communication to Board of Appeals and Interferences		
After Fina	•	Petition to Convert to a Provisional Application		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
Extension of Tin	ne Request	Power of Attorney, Revocation, Change of Correspondence Address		Proprietary Information		
Express Abando	onment Request	Terminal Disclaimer		Status Letter Other Enclosure(s) (please		
Information Disc	losure Statement	atement Request for Refund		identify below):		
Certified Copy of Priority Document(s)		CD, Number of CD(s) Landscape Table on CD		Return Postcard  International Search Report		
Response to Mi Incomplete App	<u> </u>		2 No	n-Patent References		
	Response to Missing Parts under 37 CFR 1.52 or 1.53					
	SIGNATUR	E OF APPLICANT, ATTORNEY OR	AGENT			
Firm Name RatnerPrestia						
Signature		14				
Printed Name Jacq	ues L. Etkowicz	U				
Date April	13, 2005	Registration N	o. 41	738		
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature	Kathleen	P. Carry				
Typed or Printed Name	Kathleen P. Carney		Date	April 13, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/727,939
Applicant: Wen-Bin Yan
Filed: December 3, 2003

Title: APPARATUS AND METHOD FOR IMPROVED ANALYSIS OF LIQUIDS BY

CONTINUOUS WAVE-CAVITY RING DOWN SPECTROSCOPY

TC/A.U.: 2878

Examiner:

### **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form, other than U.S. patents, are enclosed.

Please note that reference HALLOCK, A. J. ET AL, Use of Broadband, Continuous-Wave Diode Lasers in Cavity Ring-Down Spectroscopy for Liquid Samples, January 10, 2003, Applied Spectroscopy, Vol. 57, No. 5. 2003, pages 571-573 was cited in an Information Disclosure Statement filed on March 12, 2004.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

TGR-106US PATENT

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

RatnerPrestia

Jacques L. Etkowicz, Reg. No. 41,738

Attorney for Applicant

JLE/kpc

Enclosures: PTO Form 1449

(2) References

International Search Report

Transmittal Form

Dated: April 13, 2005

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

April 13, 2005

Káthleen P. Carney

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Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known	
Application Number	10/727,939	
Filing Date	December 3, 2003	
First Named Inventor	Wen-Bin Yan	
Art Unit	2878	
Examiner Name		
Attorney Docket No.	TGR-106US	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	-	US-6,452,680 B1	09/17/2002	Paldus			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Appl	of Patentee or licant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
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Examiner Signature					Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>\*</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449B/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known	
Application Number	10/727,939	
Filing Date	December 3, 2003	
First Named Inventor	Wen-Bin Yan	
Art Unit	2878	
Examiner Name		
Attorney Docket No.	TGR-106US	

Considered

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		SYNDER ET AL., Cavity Ring-Down Spectroscopy as a Detector for Liquid Chromatography, Analytical Chemistry, Vol. 75, No. 13, July 1, 2003, pps. 3086-3091	
		XU ET AL., Cavity ring-down spectroscopy in the liquid phase, Review of Scientific Instruments, Vol. 73, No. 2, Part 1, February 2002, pps. 255-258	
		International Search Report for PCT International Application No. PCT/US2004/040079, mailed March 14, 2005	
		·	
-			
Examiner	·	Date	

Signature

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).
<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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